



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/940,949	08/28/2001	Luc Tripod	PU010180	5192

7590

07/08/2003

JOSEPH S. TRIPOLI  
THOMSON MULTIMEDIA LICENSING INC.  
2 INDEPENDENCE WAY  
P.O. BOX 5312  
PRINCETON, NJ 08543-5312

EXAMINER

HARVEY, JAMES R

ART UNIT

PAPER NUMBER

2833

DATE MAILED: 07/08/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/940,949

Applicant(s)

TRIPOD, LUC

Examiner

James R. Harvey

Art Unit

2833

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on 15 April 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 and 10-12 is/are rejected.
- 7) ☒ Claim(s) 9 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07 January 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

#### ***Continued Examination Under 37 CFR 1.114***

- A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4-15-03 has been entered.

#### ***Claim Objections***

- The following claims are objected to:
    - In reference to claim 1 (line 6), the phrase “the socket having electrical contacts which engage the terminals on the second side of the circuit board” is vague and indefinite. It is not clear if applicant seeks patent protection for:
      - \*\* the socket having contacts that are on the second side of the circuit board and that the contacts also *electrically* engage the terminals or if applicant seeks patent protection for:
      - \*\* the socket having electrical contacts which *physically* engaged the terminals and that the *physical* contact between the contact and the terminals occurs on the second side of the circuit board.
- For purposes of examination, it is assumed that applicant intended to claim the latter.
- In reference to claim 10, the phrase “terminals are fed through a side of the neck” is vague and indefinite. The neck is known to be of a radial cylindrical shape and applicant has not disclosed that it is not or that it has sides other than the end. If applicant wishes patent protection

Art Unit: 2833

for the terminals being fed through the outer circumference of the neck, applicant must amend the claim with language consistent with that limitation. For purposes of examination, it is assumed that applicant intended to claim “an end” as in claim 9 instead of “a side”. A rejection on the merits (as best understood) follows.

-- In reference to claims 4, 7, and 11, the claim limitation “back cover” is vague and indefinite. The limitation adds another separate component (cover) to the socket and no such component is shown in the drawings or particularly pointed out in the specification. For purposes of examination, it is assumed that applicant intended to claim “a surface disposed on the back portion of the socket”.

-- In reference to claim 12, the phrase “the circuit board is arranged between the socket and the neck” is vague and indefinite. The neck passes through circuit board in the approved amended drawings (sent 1-7-03). For purposes of examination, it is assumed that applicant intended to claim the position during the method of manufacture. Another assumption is that applicant intended to claim “funnel” instead of “neck”. A rejection on the merits (as best understood) follows.

### ***Claim Rejections - 35 USC § 102***

- The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

Art Unit: 2833

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

- Claim(s) 1, 4-7, and 10-12 are rejected under 35 U.S.C. 102(b) as being anticipated by Puhak (4165143).

-- In reference to claim 1, Puhak shows (cover sheet) an arrangement for coupling a cathode ray tube 15 (CRT) (figure 1) to a socket 27 which is mounted on a circuit board 35 the CRT having a funnel and a neck (11, 15) having an end 17, a circumferential surface and terminals 25 extending from the electron gun, said terminals positioned along the circumferential surface of the neck (11,15); the circuit board 35 being positioned with a first side facing the funnel portion and a second side facing away from the funnel portion, the socket having electrical contacts 33 which engage the terminals on the second side of the circuit board, the electrical contacts being positioned on the second side of the circuit board (figure 2 ).

Further, although the art does not specifically disclose the funnel, the funnel is seen to be an inherent element of the CRT.

-- In reference to claim 4, Puhak shows the socket has a surface on the back portion of the socket 31 which abuts a distal end 23 (figure 1) of the neck .

-- In reference to claim 5, Puhak shows (cover sheet) a funnel and an integral neck (11, 15) extending rearward from the funnel;

an electron gun positioned within the funnel;

Art Unit: 2833

terminals 25 extending from the electron gun through the neck along an outer surface of the neck (along the circumferential surface of the front portion of the neck); and,

a circuit board 35 having a socket 27 that can be used for electrically connecting components mounted thereon,

the socket 27 being electrically connected to the components and being directly mat-able with the terminal 25 extending along the outer surface of the neck, the socket has a distal end 39 that is flush with a distal end 17 of the neck when the socket is mated.

Although the prior art does not specifically disclose the claimed electron gun positioned within the funnel, this feature is seen to be an inherent teaching of that device since a means for providing a CRT is disclosed and it is apparent that an electron gun positioned within the funnel must be present for the CRT to function as intended.

Further, although the art does not specifically disclose the funnel, the funnel is seen to be an inherent element of the CRT.

Although the reference does not show the components being electrically connected to the circuit board 35, this is seen to be an inherent element of the circuit board that is used with CRT configurations.

-- In reference to claim 6, Puhak shows the terminals extend along an outer circumferential surface of the neck.

-- In reference to claim 7, Puhak shows the socket has a surface on the back portion of the socket 31 which abuts a distal end 23 of the neck.

-- In reference to claim 10, Puhak shows (figure 1) the terminals are fed through an end of the neck.

Art Unit: 2833

-- In reference to claim 11, Puhak shows the socket has a surface on a back portion of the socket 31 that positions the electrical contacts with respect to the terminals.

-- In reference to claim 12, Puhak shows the neck passes through the circuit board and into the socket in the same manner disclosed in applicant's drawings and specification. As to the assumed limitation of "the circuit board between the socket and the funnel", the inherent positioning of the funnel of Puhak meets the assumed claim limitation. Further, as discussed above, if applicant is referring to the location of the circuit board during the method of assembling the neck and socket; The method of forming, the device is not germane to the issue of patentability of the device itself. Therefore, this limitation has not been given patentable weight.

### ***Claim Rejections - 35 USC § 103***

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

- Claim(s) 2, 3, and 8 is/are rejected under 35 U.S.C. 103(a) as being unpatentable over Puhak in view of Johnson et al. (3944892)

Art Unit: 2833

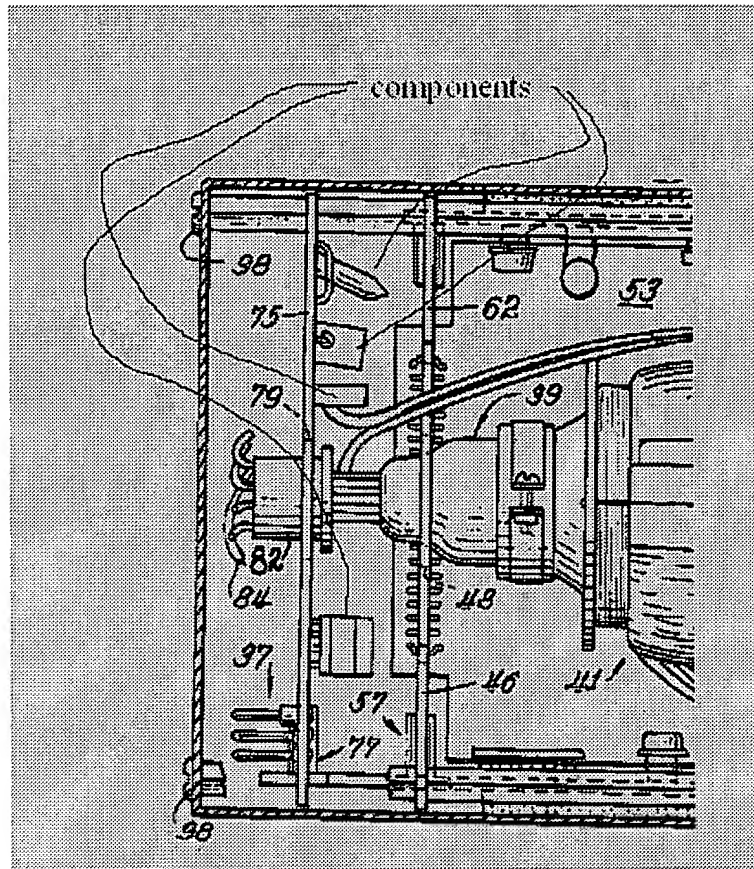
-- In reference to claims 2 and 8, Puhak shows substantially the invention as claimed. However, Puhak does not show the circuit board has a plurality of components mounted thereon, none of the components extending away from the funnel further than the back cover of the socket.

Johnson shows (cover sheet) the circuit board 75 has a four (a plurality) components (see examiner's figure) mounted thereon, none of the four components extending away from the funnel further than the back cover of the socket.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the component configuration shown by Johnson on the circuit board of Puhak because, as shown by Johnson (cover sheet), if the components were mounted on the other side of the circuit board, it would not make it possible to use wire 86 to electrically connect at least one of the plurality of components to the funnel.

-- In reference to claim 3, Puhak as modified by Johnson shows (figure 8 ) the circuit board has a plurality of components (see examiner's figure) mounted on the first side.





### ***Response to Arguments***

-- Applicant's arguments have been considered, but they are moot due to the new grounds of rejection.

### ***Allowable Subject Matter***

- Claim(s) 9 has(have) allowable subject matter.
- Claim(s) 9 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- The following is a statement of reasons for the indication of allowable subject matter: The prior art does not show terminals folded along the circumferential surface of the neck in

Art Unit: 2833

combination with all the other elements of the claim and the examiner knows of no permissible motivation to combine the prior art such that the subject matter as a whole would have been obvious at the time the invention was made.

If the application becomes allowable, any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowable Subject Matter".

### ***Conclusion***

Effective May 1, 2003, the United States Patent and Trademark Office has a new Commissioner for Patents address. Correspondence in patent related matters must now be addressed to:

**Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

For additional information regarding the new address, see Correspondence with the United States Patent and Trademark Office, 68 Fed. Reg. 14332 (March 25, 2003).

- Any inquiry concerning this communication or earlier communications from the examiner should be directed to James R. Harvey whose telephone number is 703-305-0958. The examiner can normally be reached on 8:00 A.M. To 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paula A. Bradley can be reached on 703-308-2319. The fax phone numbers for the

Art Unit: 2833

organization where this application or proceeding is assigned are 703-872-9318 (OFFICAL/BEFORE FINAL) and 703-872-9319 (OFFICAL/AFTER FINAL).

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

James R. Harvey, Examiner

jrh  
June 25, 2003



**THO D. TA**  
**PRIMARY EXAMINER**